DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard
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DATE: **April 11, 2019**

TO: All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and Demonstration
              Organizations Systems Staff

FROM: Jennifer R. Shapiro, Acting Director, Medicare Plan Payment Group
              Center for Medicare

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              Office of Information Technology

SUBJECT: **Announcement of the May 2019 Software Release**

This letter provides detailed information regarding the planned release of systems changes
scheduled for May 2019. The updates described in this communication will be included in the May
2019 Plan Communications User Guide v13.1, scheduled for publication on May 31, 2019.

The May 2019 software release will include the following:

1. **Monthly Membership Report (MMR) Data File Updates**
2. **Part B Premium Reduction for Employer Group Waiver Plans (EGWPs)**
3. **Changes to MARx Plan Transaction Processing**

Plans are encouraged to contact the MAPD Help Desk for any issues encountered during the
systems update process. Please direct any questions or concerns to the MAPD Help Desk at 1-800-
927-8069 or e-mail at mapdhelp@cms.hhs.gov.

1. **Monthly Membership Report (MMR) Data File Updates**

In an initiative to supply current information about plan payment, CMS will stop populating information for several outdated/obsolete fields on the MMR Data File. When Medicare Advantage Prescription Drug system (MARx) payment reports are disseminated for July 2019 (scheduled June 21, 2019), the outdated/obsolete fields will be renamed to "Filler" and contain values equal to spaces. Note, the record length (495 characters) and layout will remain the same.

The following MMR Data File fields are outdated/obsolete and will contain values of spaces after this software change is implemented:
1. Field 9 (Age Group)
2. Field 17 (Institutional)
3. Field 18 (NHC)
4. Field 22 (PIP-DCG)
5. Field 31 (Demographic Payment/Adjustment Rate (Part A))
6. Field 32 (Demographic Payment/Adjustment Rate (Part B))
7. Field 41 (Previous Disable Ratio (PRDIB))
8. Field 42 (De Minimis)
9. Field 43 (Concurrent Enrollment)
10. Field 45 (Race Code)
11. Field 48 (Original Reason for Entitlement Code (OREC))
12. Field 49 (Risk Adjustment LAG Indicator)
13. Field 51 (Enrollment Source)

The MMR fields will be represented as follows:

Monthly Membership Detail Report				
Item	**Field**	**Size**	**Position**	**Description**
9	Filler	4	49 - 52	Spaces
17	Filler	1	64	Spaces
18	Filler	1	65	Spaces
22	Filler	2	69-70	Spaces
31	Filler	9	108-116	Spaces
32	Filler	9	117-125	Spaces
41	Filler	7	176-182	Spaces
42	Filler	1	183	Spaces
43	Filler	1	184	Spaces
45	Filler	1	188	Spaces
48	Filler	1	192	Spaces

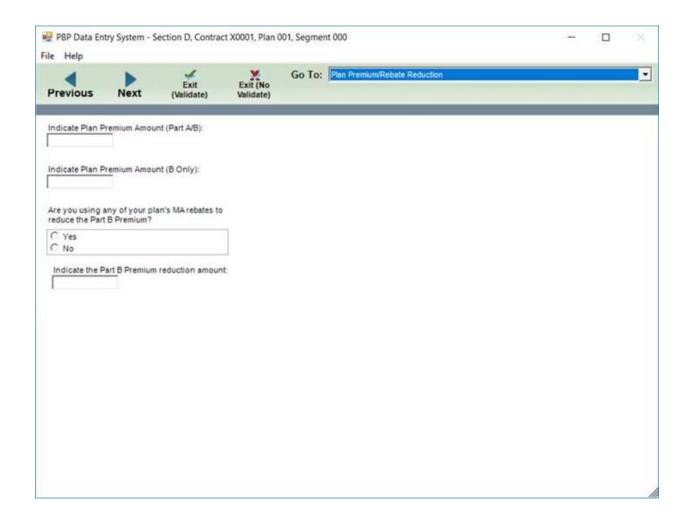| Monthly Membership Detail Report				
Item	Field	Size	Position	Description
49	Filler	1	193	Spaces
51	Filler	1	197	Spaces

2. Part B Premium Reduction for Employer Group Waiver Plans (EGWPs)

In 2017, CMS began using pre-determined benchmark Part C payment rates for EGWPs. Since EGWPs were no longer required to participate in the bid process when establishing Part C payment rates, they were not eligible to receive Medicare Advantage (MA) Rebates, such as a Part B Premium Reduction.

Beginning in 2020, CMS will give EGWPs the opportunity to offer their members a Part B Premium Reduction.

When an EGWP elects to offer Part B Premium Reduction to their members, they will be required to enter the Part B Premium Reduction amount in the Health Plan Management System (HPMS). In return, the Medicare Advantage Prescription Drug System (MARx) will reduce the monthly Part C payment by the amount of the Part B Premium Reduction.

Plan user will enter the Part B Premium Reduction amount in HPMS on the PBP Data Entry System screen – Section D:

3. Changes to MARx Plan Transaction Processing

In an effort to apply efficient and less complicated security measures within the MARx system, CMS will install changes so that the Enterprise Identity Management (EIDM) User ID is used when sending Batch Input Detail submission files.

On May 13, 2019

- The MARx Batch Input Header Record of the submission file must contain the plan submitter's EIDM User ID

MARx Batch Input Header Record				
Item	Field	Size	Position	Description
1	Header Message	12	1-12	AAAAAAHEADER
2	Filler	1	13	Spaces
3	Batch File Type	5	14-18	Spaces = no special approval required. RETRO = Retroactive submission. POVER = Plan rollover submission.

				SVIEW = Special Review submission.
4	Filler	1	19	Spaces
5	CMS Approval Request ID	10	20-29	Spaces when **Batch File Type**, Field 3, contains spaces; otherwise, the right justified CMS pre-approval request ID from the special batch request utility.
6	Filler	4	30-33	Spaces
7	Current Calendar Month (CCM)	6	34-39	MMCCYY Reference month for enrollment processing. The CCM date determines whether to accept a file and evaluates the appropriate effective date for submitted transactions.
8	Filler	7	40-46	Spaces (Reserved)
9	EIDM User ID	74	47-120	Required
10	Filler	180	121-300	Spaces

- The MARx Batch Input Detail submission file will be redefined using a new naming convention

System	Type	Frequency	File Length	MARx Batch Input Dataset Naming Conventions
MARx	Data File	Batch - Daily PRN	300	**Gentran Mailbox/TIBCO MFT Internet Server:** [GUID].CMS.MARX.D.RXXXXX.PLANTRAN.[P/T][.ZIP] Note: XXXXX is the user's plan contract mailbox. **Connect:Direct:** P#EFT.ON.MARXTR.RXXXXX.DYYMMDD.THHMMSST Notes: XXXXX is the user's plan contract mailbox. DYYMMDD.THHMMSST must be coded as shown, as it is a literal.

- The MARx Batch Completion Status Summary Report (BCSS) will be redefined using a new naming convention

System	Type	Frequency	File Length	BCSS Dataset Naming Conventions
MARx	Data File	Once batch is processed	323	**Gentran Mailbox/TIBCO MFT Internet Server:** P.RXXXXX.BCSS.Annnnn.Bnnnnn.Thhmmss **Connect:Direct (Mainframe):** zzzzzzzz.RXXXXX.BCSS.Annnnn.Bnnnnn.Thhmmss **Connect:Direct (Non-mainframe):** [directory]RXXXXX.BCSS.Annnnn.Bnnnnn.Thhmmss

- The Header record eight (H8) will contain the submitter's EIDM User ID.

```
H1 TRANSACTIONS RECEIVED ON 2019-02-08 AT 15.13.29
H2 TRANSACTIONS PROCESSED ON 2019-02-08 AT 19.55.49
H3 ENROLLMENT SERVICE PROCESSING COMPLETED
H4 HEADER CODE= MCS
H5 HEADER DATE= 000000
H6 REQUEST ID =
H7 BATCH ID   = 0037999802
H8 USER ID    = EIDM USER ID
C1 TRAN CNTS1 = 00000011 T01 0000000 T51 0000000 T61 0000000 T72 0000000
C2 TRAN CNTS2 =          T73 0000000 T74 0000000 T75 0000000 T76 0000000
C3 TRAN CNTS3 =          T77 0000000 T78 0000000 T79 0000000 T80 0000000
C4 TRAN CNTS4 =          T81 0000000 T82 0000000 T83 0000000 T90 0000000
C5 TRAN CNTS5 =          T91 0000000 TXX 0000011
P1 TOTAL TRANSACTIONS PROCESSED =        10
P2 TOTAL ACCEPTED TRANSACTIONS =          0
P3 TOTAL REJECTED TRANSACTIONS =         10
P4 TOTAL FAILED TRANSACTIONS   =        1
F  FAILED TRANSACTION DETAILS
```

Header record three (H3) error messages have been newly defined as follows:

1. Invalid User ID.
   H3 USER ID NOT AUTHENTICATED: USER ID NOT FOUND

2. Inactive User ID.
   H3 USER ID NOT AUTHENTICATED: INACTIVE USER

3. File does not contain records, or record length is invalid
   H3 FILE DOES NOT CONTAIN ANY RECORDS OR ELSE FILE RECORD LENGTH IS
   INVALID

4. Header Record is Missing or Invalid
   H3 HEADER RECORD IS MISSING OR INVALID

5. Invalid or Missing Header Date.
   H3 HEADER RECORD DATE IS MISSING OR INVALID

6. Future Header Date.
   H3 HEADER RECORD DATE IS A FUTURE CALENDAR MONTH

7. Header Date earlier than CCM.
   H3 HEADER RECORD DATE IS EARLIER THAN CURRENT CALENDAR MONTH

When plans submit a Special Batch Input file which requires CMS review and approval, the **H3 and H4 rows** will contain the following messages:

1. Retro File Detected.
   H4 HEADER CODE= AAAAAAHEADER RETRO

2. Rollover File Detected.
   H4 HEADER CODE= AAAAAAHEADER POVER

3. Review File Detected.
   H4 HEADER CODE= AAAAAAHEADER SVIEW

4. Special File – Invalid
   H3 SPECIAL FILE DETECTED, PROCESSING IS NOT APPROVED OR REQUEST ID IS NOT RECOGNIZED'.

5. Special File - Unknown
   H3 UNKNOWN SPECIAL FILE TYPE, OR DOES NOT AGREE WITH APPROVED TYPE.

6. Special File - Invalid Submitter
   H3 SPECIAL FILE DETECTED, BATCH FILE SUBMITTER IS NOT APPROVAL REQUESTOR.

7. Special File - Date Mismatch
   H3 SPECIAL FILE DETECTED, BATCH FILE HEADER CURRENT CALENDAR MONTH DOES NOT MATCH APPROVED DATE.

8. Special File Retro - Date Error
   H3 SPECIAL FILE DETECTED, RETRO HEADER DATE NOT LESS THAN CURRENT CALENDAR MONTH.

9. Special File - Transaction Count Mismatch
   H3 SPECIAL FILE DETECTED, TRANSACTION COUNT DOES NOT MATCH APPROVED COUNT.

10. Special File - Undefined Transaction
    H3 SPECIAL FILE DETECTED, UNDEFINED TRANSACTION CONTENT IDENTIFIED.

11. Special File - Held for Review
    H3 SPECIAL FILE DETECTED, FILE HELD FOR CMS REVIEW.

Resource Access Control Facility (RACF) User Ids for Plan Submitters
Plan users who submit data to MARx will no longer be prompted to enter a (RACF) ID as part of new user registration in EIDM.

Transition Period for Submitting MARx Batch Input Header Record
There will be a transition period (May 13, 2019 – August 31, 2019) when plan submitters can transmit both the current and new MARx Batch Input Detail submission files. After August 31, 2019, plan submitters will be required to submit files using the new format.